



Arizona Records Improvement
And
Information Sharing Strategy
2012 - 2017

VISION – ENHANCE PUBLIC SAFETY AND SECURITY FOR ARIZONA CITIZENS THROUGH A COLLABORATIVE JUSTICE INFORMATION SHARING ENVIRONMENT WHILE PROTECTING THE PRIVACY OF CITIZENS AND CONFIDENTIALITY OF INFORMATION.

GOAL 1 - Improve criminal records quality by increasing the timeliness, accuracy, completeness and accessibility of record information.

Objective 1.1 – Identify opportunities to enhance the automation of information delivery, the effectiveness of programs, and the efficiency of operations.

Objective 1.2 – Promote ongoing records improvement collaboration across the flow of the criminal justice system.

GOAL 2 – Enhance information sharing across jurisdictional boundaries.

Objective 2.1 – Develop a conceptual framework that supports information sharing and leverages initiatives and opportunities.

Objective 2.2 – Pursue avenues that enhance the connectivity of disparate components, systems and databases to promote seamless information delivery.

Supporting Activities & Performance Measures:

- Extend the availability of electronic disposition information entry (via ADRS) statewide by 12/31/12 **Supports Obj. 1.1, 1.2, 2.2**
- Expand XML data transfer ability to ADRS pilot agencies (MCAO, Maricopa County Clerk of the Court, and Pinal County) by June 30, 2012. **Supports Obj. 1.1, 2.2**
- Increase the completeness of criminal history records in Arizona by 10 percent by 12/31/17 **Supports Obj. 1.1, 1.2, 2.1**
- Extend JWI functionality and data sources available to JWI through collaboration with stakeholders and DPS. **Supports Obj. 1.1, 2.1, 2.2**
- Continue to provide information and support regarding the availability and utility of AZLINK as a law enforcement investigative and analysis tool. **Supports Obj. 1.1, 2.1 and 2.2**
- Continue to support through facilitation, participation and information sharing the warrant reengineering initiative focused on business process improvements, information exchange and record retention of warrants. **Supports Obj. 1.1, 1.2, 2.1**
- Continue support through facilitation and participation of executive and stakeholder workgroups and subcommittees allowing stakeholders to discuss, analyze and recommend changes to business processes, legislation, and administrative policy. **Supports Obj. 1.2, 2.2**
- Facilitate the National Instant Criminal Background Check System (NICS) project awarded to the ACJC from the Bureau of Justice Statistics in October 2011. Improve the reporting of mental health adjudications and completed dispositions for offenses used by the NICS unit for making determinations regarding firearm purchases. Offenses included are all felonies, domestic violence and drug offenses. Deliverables of grant include Arizona NICS Record Improvement Plan. **Supports Obj. 1.1, 1.2, 2.1, and 2.2**

- Improve completeness of failure to appear (FTA) (13-2506 and 13-2507) charges currently existing in the state repository through coordinated efforts with county and municipal prosecution offices. Evaluate with stakeholders direction for warrant process improvements / reengineering. Suggested changes to be vetted through policy, technical, legislative committees and Commission as required. **Supports Obj. 1.1, 1.2**
- Be attentive to federal records improvement and information sharing priorities and leverage opportunities to align with federal initiatives. **Supports Obj. 2.1**
- Continue partnerships with Judicial Branch to encourage standardized business processes for electronic filing. **Supports Obj. 1.1, 1.2**
- Provide information regarding the benefits of E-citation to law enforcement and courts. **Supports Obj. 2.1**

TECHNOLOGY STANDARDS

- Continue to educate stakeholders on federal information exchange standards (such as NIEM) and support global initiatives through training and informational resources. **Supports Obj. 1.1, 2.1 and 2.2**
- Educate stakeholders regarding the capability of querying across multiple informational sources through JWI. **Supports Obj. 1.1, 2.1 and 2.2**
- Adopt NIEM compliant exchange standards for E-filing and E-citation information sharing. **Supports Obj. 1.1, 2.1 and 2.2**

FUNDING

- Identify funding opportunities (federal, state, local, private, other) to further the priorities of the state strategy and coordinate efforts to leverage the greatest benefit. **Supports Obj. 2.1**
- Continue to encourage national and state legislative appropriations for public safety records and information exchange efforts. **Supports Obj. 2.2**

GOAL 1 – IMPROVE CRIMINAL RECORDS QUALITY BY INCREASING THE TIMELINESS, ACCURACY, COMPLETENESS AND ACCESSIBILITY OF RECORD INFORMATION.

Objective 1.1 Identify opportunities to enhance the automation of information delivery, the effectiveness of programs, and the efficiency of operations.

Objective 1.2 Promote ongoing records improvement collaboration across the flow of the criminal justice system.

NO.	Activities	FY 13 Priority			POTENTIAL PARTNERS	EXISTING RESOURCES	RESOURCE NEEDS	STATUS
1.	Continuation of ADRS rollout and further system enhancements to support LE information exchange to Prosecutor systems	Began 2/ 2012		Through FY13	All Stakeholders – AOC & DPS joint implementation	AOC, DPS and implementation agencies	Currently funded – CJRIP & Agencies	Ongoing
ACTION STEPS	1. AOC / DPS continued roll-out			12/2013		AOC, DPS, CJRIP FUNDING	Currently funded	Ongoing
	2. DPS – implementation of enhancements			12/2012		DPS, AOC, CJRIP FUNDING	Currently funded	Ongoing
	3. Ongoing review and analysis for system improvements.			Ongoing	All Stakeholders	DPS, AOC and All Stakeholders	All Stakeholders	Ongoing
2.	Criminal History Records Clean-Up & Completion							
ACTION STEPS	1. Activities currently funded to support the clean up of missing records by addressing backlogs and rejected dispositions.	Ongoing since 1998		Ongoing	CJ Stakeholders	NCHIP, CJRIP, NICS funding & Agency Personnel	Currently funded	Grants will expire FY12 & FY13
	2. Extensive discussion required by the Policy Team to develop scope of areas to be addressed in FY2013	Begin discussions June 2012		Ongoing	All Stakeholders	Stakeholders currently attending business process improvement meetings.	Continued support from stakeholders	Ongoing

GOAL #2: ENHANCE INFORMATION SHARING ACROSS JURISDICTIONAL BOUNDARIES

Objective 2.1 – Develop a conceptual framework that supports information sharing and leverages initiatives and opportunities.

Objective 2.2 – Pursue avenues that enhance the connectivity of disparate components, systems and databases to promote seamless information delivery.

NO.	Activities	FY13 Priority			POTENTIAL PARTNERS	EXISTING RESOURCES	RESOURCE NEEDS	STATUS
1.	Warrant Repository – eWarrants Process	Began FY2011		Ongoing	All Stakeholders	AOC, DPS, all CJ Stakeholders, Federal funding	Funding and continued stakeholder involvement	1 st Phase of Study to be completed by July 2012. Next phase will need directed funding
ACTION STEPS	1. Phase II of study will be the development of an improved business model and system specifications for warrant issuance, tracking, reporting and repository.	TBD			All Stakeholders	Currently stakeholder discussions	Funding to hire project manager, continued stakeholder involvement and legislative analysis	Pending Commission Approval
	2. Analysis of FTA Warrant process and recommendations for improvements.	TBD			All Stakeholders	Currently stakeholder discussions	Continued stakeholder involvement	Pending Commission Approval
NO.		FY 13 Priority			POTENTIAL PARTNERS	EXISTING RESOURCES	RESOURCE NEEDS	STATUS
2.	Support NICS Task Force Project	FY2011		FY2013	All Stakeholders	Consultants – Project Manager & System Analysts, ACJC SAC & Program Staff and CJ Stakeholders and BJS funding	Stakeholder involvement	Ongoing
	1. Currently Task Force is doing analysis of 7 reporting categories for NICS to develop recommendations for business process improvements.	FY2011		FY2013	All Stakeholders		Continued involvement of stakeholders	Ongoing - Additional funding request submitted 4/2012

Medium Priorities for 2013 include:

- **JWI – Data Source Sharing** – Additional detailed discussion required with Policy Team to formulate recommendations to the Commission.
- **AZLink** – Streamlined agreement process – AG currently working on agreements – Further discussion needed with Policy Team to develop recommendations to the Commission.
- **Failure to Appear** – Exclusion of Stats – Policy discussion needed to develop recommendation for the Commission regarding omission of FTA violations without disposition in statistics. (Recommendation to consider would be to report both with FTAs and without making appropriate footnote.)
- **eCitation** – Implementation in all jurisdictions – Discussion needed with Policy Team and Technical Team for recommendations of how to assist smaller jurisdictions – evaluation if DPS software could be made available. Need to develop consortium model.
- **Synchronization** of Probation – Release – Statute and Ordinance Systems – Common Code Tables. Policy and Technical Team discussion required to develop recommendations to the Commission.

High Priorities in FY2014

- Criminal efilng

Medium Priority in FY2014

- JWI Operational Consortium
- AZLink Funding

Low Priority in FY2014

- AZLink Enhanced Services